

東海大學研究生學位考試規則

Tunghai University Regulations for Master's & PhD Degree Examination

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第一條 本規則依據大學法及其施行細則、學位授予法及各類學位名稱訂定程序授予要件及代替碩士博士論文認定準則訂定之。

Article 1 The regulations herein are established in compliance with the University Act, the Enforcement Rules of the University Act, the Degree Conferral Act, and the Procedures for Establishing Various Degree Titles, Degree Awarding Requirements, and Criteria for Recognizing Alternative Master's and Doctoral Theses.

第二條 符合下列規定之研究生，得申請學位考試：

一、碩士班（含在職專班）：

(一) 修業滿一年。

(二) 修畢該系、所、學位學程規定之應修課程與學分（含當學期）。

(三) 自107學年度（含）起入學之研究生需通過本校規定之學術倫理教育課程。

(四) 學位論文通過所屬系、所、學位學程專業領域相符之審查。

(五) 完成論文初稿，並經指導教授及系、所、學位學程主任同意。

二、博士班：

(一) 修業滿二年（逕修讀博士學位者應在碩士班修業滿一年，博士班修業

滿二年)。

- (二) 修畢該系、所、學位學程規定之應修課程與學分，逕修讀博士學位者至少修畢三十學分（不含論文學分）（含當學期）。
- (三) 依本校博士學位候選人資格考核實施要點，通過博士學位候選人資格考核。
- (四) 自 107 學年度（含）起入學之研究生需通過本校規定之學術倫理教育課程。
- (五) 學位論文通過所屬系、所、學位學程專業領域相符之審查。
- (六) 完成論文初稿，並經指導教授及系、所、學位學程主任同意。

學位考試舉行後，研究生當學期如未能完成應修學分數，其學位考試成績准予保留一年。

Article 2 Students who meet the following criteria may apply for degree examination:

1. Master's Programs (incl. in-service programs):

- (1) Completed one year of study.
- (2) Completed (or is currently completing in the present semester) all compulsory courses and credits required by the department, institute, or degree program.
- (3) Students enrolled in the 2018 academic year and beyond are required to also pass academic ethics courses.
- (4) Degree thesis has been professionally reviewed and accepted per the requirements of the department, institute, or degree program.
- (5) Draft of degree thesis has been completed and approved by the advisor and head of the department, institute, or degree program.

2. PhD Programs:

- (1) Completed two years of study (those directly admitted to doctoral programs from master's program shall complete at least one year in their master's program and two years in their doctoral program).
- (2) Completed (or is currently completing in the present semester) all compulsory courses and credits required by the department, institute, or degree program. Those directly admitted to doctoral programs from master's programs are required to complete at least 30 credits (excl. credits from the thesis).
- (3) Passed the doctoral candidate qualification exams under the THU Guidelines for Doctoral Candidacy and Qualification Exams.
- (4) Students enrolled in the 2018 academic year and beyond are required to also pass academic ethics courses.
- (5) Degree thesis has been professionally reviewed and accepted per the requirements of the department, institute, or degree program.
- (6) Draft of degree thesis has been completed and approved by the advisor and head of the department, institute, or degree program.

Students who fail to complete the required compulsory credits in the semester of the degree examination may retain the score obtained from their degree examination for one year.

系、所、學位學程應訂定研究生學位論文與專業領域相符之審查程序及學位論文與專業領域不符時，其指導教授之課責規定，經系、所、學位學程會議、院務會議通過後實施，並送教務處備查。

前項研究生學位論文與專業領域審查應於研究生提出學位考試申請前完成，未符合專業領域審查者不得申請學位考試。

碩士、博士學位論文撰寫語言由各系、所、學位學程決定之。

已於國內、外取得學位之論文，不得再行重複提出，違者依本校學生學位論文違反學術倫理案件處理。但經由學術合作，與境外學校共同指導論文，並分別授予學位者，不在此限。

藝術類、應用科技類或體育運動類碩士班、博士班研究生，其論文得以作品、成就證明連同書面報告或以技術報告代替；碩士班屬專業實務者，其碩士論文得以專業實務報告代替。

前項藝術類、應用科技類、體育運動類或專業實務之認定基準、資料形式、內容項目及其他相關事項，由系、所、學位學程訂定，提案經系、所、學位學程會議、院務會議、教務會議審議通過後實施。

Article 3

To ensure a student's degree thesis aligns with the educational goals and professional domain of their department, institute, or degree program, all departments, institutes, and degree programs shall formulate a thesis review process in line with its professional domain.

When a student's thesis is determined to fall outside of the scope of their professional domain, the responsibilities of the thesis advisor shall be determined by the department, institute, or degree program council and implemented upon approval by the college council. Such decisions shall be submitted to the Office of Academic Affairs for archive.

The review of a degree thesis's relevance to a professional domain should be completed before the student applies for their degree examination. Students who do not meet the requirements of the professional domain review shall not apply for a degree examination.

The language in which master's and doctoral theses are written shall be determined by each department, institute, and degree program.

Theses that have already been awarded degrees in Taiwan or abroad may not be resubmitted. Violators shall be subject to THU regulations on violation of academic ethics and integrity. However, the joint instruction of a thesis with an overseas institution through academic collaboration, resulting in the awarding of degrees by both institutions, is not subject to this restriction.

For master's and doctoral students in the fields of arts, applied sciences and technology, or sports, theses may be replaced by works, evidence of achievements along with written reports, or technical reports. For master's programs in professional practice, the master's thesis may be replaced by a professional report.

The criteria for recognition, format, content, and other relevant matters for the aforementioned fields of arts, applied sciences and technology, sports, or professional practice shall be established by the departments, institutes, and degree programs. Proposals should be reviewed and approved by department, institute, and degree program councils, as well as college councils and the Academic Affairs Meeting before implementation.

第四條

研究生申請學位考試，每學期舉行一次，至遲應於學期終了日前（第一學期一月三十一日，第二學期七月三十一日）舉行，並依下列規定辦理：

一、申請期限：學期開始日起（第一學期八月十六日，第二學期二月十六日），至該學期終

了日前二週止。特殊情形如須提前或延期，經指導教授、系、所、學程主任同意後辦理。

二、檢具下列文件經指導教授及系、所、學位學程主任同意並報請學校核備後始得舉行。

(一) 學位考試申請表。

(二) 歷年成績單。

(三) 論文初稿及其提要（代替論文之作品、成就證明連同書面報告、技術報告或專業實務報告亦應撰寫提要）。

(四) 自 110 學年度（含）起需提供「論文原創性比對」供學位考試委員參考其論文（含代替論文之作品、成就證明連同書面報告、技術報告或專業實務報告）相似度比對方式及標準由各系、所、學位學程自訂。

(五) 系、所、學位學程規定之其他文件。

已申請學位考試之研究生，若因故未能如期舉行學位考試，應於學位考試一週前，填具撤銷學位考試申請書，經指導教授及系、所、學位學程主任同意後送教務處。除特殊情形外，逾期末申請取消亦未舉行考試者，以一次不及格論。

Article 4 Students can apply once each term for a degree examination, which shall be held, at the latest, before the end of the semester (Fall semester: January 31; Spring semester: July 31) in compliance with the following regulations:

1. Applications are open from the start date of the semester (Fall: August 16; Spring: February 16) to two weeks before the end of the semester.

In special circumstances such as extension or advance, it must be approved by the advisor and the department chair or degree program director.

2. Please submit the following documents for approval from the advisor and head of the department, institute, or program. Then, submit the approved documents to the university for archive before holding the degree examination.

(1) Degree Examination Application Form

(2) Historical Transcripts

(3) The first draft of the thesis and its abstract (works, evidence of achievements with written reports, technical reports, or professional reports should also be submitted with abstracts).

(4) Starting from the 2021 academic year (including the 2021 academic year), a Thesis Originality Report must be provided to the degree examination committee for reference. The method and standards for similarity detection of theses (as well as works, evidence of achievement with written reports, technical reports, or professional reports) shall be determined by each department, institute, or degree program.

(5) Other documents specified by the department, institute, or degree program.

Students who have applied for a degree examination but are unable to attend as scheduled must fill out an application form to cancel the degree examination one week before the scheduled date. This form must be approved by the advisor and the department chair or degree program director before being submitted to the Office of Academic Affairs. Except in special circumstances, students who fail to apply for cancellation in time and do not take the examination will be deemed to have failed the exam once.

第五條

研究生因故必須更換指導教授時，應以書面陳請原任、新任及系、所、學位學程主任同意，始得更換。如須更換且經系、所、學位學程主任確定無法聯繫

原指導教授時，毋須取得其同意，由系、所、學位學程主任簽報院長核定後洽聘適當人選擔任。

Article 5 Students seeking to change their advisors for various reasons shall submit a written request to the original advisor, new advisor, and head of the department, institute, or degree program for approval. In the event that the head of the department, institute, or degree program confirms that it is impossible to contact the original advisor, their consent will no longer be required. The head of the department, institute, or degree program shall then sign the request and submit the form to the college dean for confirmation before determining a new advisor for the student.

第六條 各系、所、學位學程應組織碩士或博士學位考試委員會辦理學位考試，碩士學位考試委員會置委員三人至五人，博士學位考試委員會置委員五人至九人，校外委員均須三分之一以上，由各系、所、學位學程主任提請校長遴聘之，並由系、所、學位學程主任指定一人為召集人。但指導教授不得擔任召集人。研究生論文指導教師以本校專任教師為原則，必要時經系、所、學位學程會議同意，得商請兼任教師共同指導之，但以不超過三分之一為限，指導教授須具備學位考試委員之資格。

研究生之配偶或三親等內之血親、姻親，不得擔任其指導教授、學位考試委員。

Article 6 All departments, institutes, and degree programs should assemble degree examination committees for master's and PhD students. The Master's Degree Examination Committee shall consist of three to five members, while the PhD Degree Examination Committee shall consist of five to nine members. External members outside of THU shall comprise at least one-third of both committees and shall be nominated by the heads of departments, institutes, and degree programs for confirmation by the president. The heads of departments, institutes, and degree programs shall also appoint a convener. The advisor, however, shall not serve as the advisor.

As a general principle, an advisor shall be a full-time faculty member at THU. When necessary and upon approval from the department, institute, and degree program council, an adjunct faculty member may be asked to co-advise, but should not exceed one-third of the advisory panel. Advisors must also be qualified to serve on a degree examination committee.

Any blood relatives or relatives by marriage within the third degree of the students shall be recused from advisory roles and the degree examination committee.

第七條 碩士學位考試委員，應對該碩士班研究生之研究領域有專門研究，並具備下列資格之一：

一、現任或曾任教授、副教授、助理教授者。

二、中央研究院院士、現任或曾任中央研究院研究員、副研究員、助研究員者。

三、獲有博士學位，且在學術上著有成就者。

四、研究領域屬於稀少性、特殊性學科或屬專業實務，且在學術或專業上著有成就。

前項第三款、第四款之提聘資格認定標準，由各系、所、學位學程會議訂定之。

Article 7 Members of a Master's Degree Examination Committee should possess specialized research expertise in the research field of the student's program and meet one of the following qualifications:

1. Current or former professors, associate professors, or assistant professors.
2. Academicians of the Academia Sinica, current or former researchers, associate researchers, or assistant researchers of the Academia Sinica.
3. Holders of a doctoral degree with notable academic achievements.
4. Specialized fields of study or professional domains that are rare or specific, and have notable academic or professional achievements.

The criteria for appointment under the third and fourth items shall be determined by the student's department, institute, or degree program.

第八條

博士學位考試委員，應對該博士學位候選人之研究領域有專門研究，並具備下列資格之一：

- 一、現任或曾任教授、副教授。
 - 二、中央研究院院士、現任或曾任中央研究院研究員、副研究員。
 - 三、獲有博士學位，且在學術上著有成就者。
 - 四、研究領域屬於稀少性或特殊性學科，且在學術或專業上著有成就者。
- 前項第三款、第四款之提聘資格認定標準，由各系、所、學位學程會議訂定之。

Article 8

Members of a PhD Degree Examination Committee should possess specialized research expertise in the research field of the student's program and meet one of the following qualifications:

1. Current or former professors, associate professors, or assistant professors.
2. Academicians of the Academia Sinica, current or former researchers or associate researchers of the Academia Sinica.
3. Holders of a doctoral degree with notable academic achievements.
4. Specialized fields of study that are rare or specific, and have notable academic or professional achievements.

The criteria for appointment under the third and fourth items shall be determined by the student's department, institute, or degree program.

第九條

學位考試以口試行之，必要時亦得舉行筆試，並應符合下列規定：

- 一、以公開舉行為原則，考試時間、地點應於事前由各系、所、學位學程公布或通知應試研究生。
- 二、學位考試時，必須評定成績，並以一次為限，且不得以「預備會」或「審查會」名義，不予評定成績，未評定成績者，以考試不及格論。
- 三、考試委員應親自出席，不得委託他人代理。碩士學位考試委員會至少須委員三人出席，博士學位考試委員會至少須委員五人出席，出席委員中並須有校外委員三分之一以上參加，始能舉行，否則其考試成績不予採認。
- 四、學位考試成績以七十分（等第制 B-）為及格，一百分（等第制 A+）為滿

分，並以出席委員評定分數平均決定之。論文有抄襲或舞弊情事，經碩士或博士學位考試委員會審查確定者，以不及格論。

五、學位考試成績不及格，其修業年限尚未屆滿者，得於次學期或次學年重考，重考以一次為限；重考成績仍不及格者，應令退學。

- Article 9 The degree examination shall be conducted through an oral defense, and if necessary, a written examination may also be held, in compliance with the following regulations:
1. The examination should be conducted openly. The examination time and location should be announced or notified to students by the department, institute, or degree program in advance.
 2. Scores must be provided for any degree examinations held, which shall be limited to one per semester. The use of terms like "preparatory meetings" or "review meetings" to avoid grading is strictly prohibited. Failure to provide a grade will result in an automatic fail.
 3. Examination committee members are required to attend the examination in person and are not allowed to request a proxy. At least three members are required to be present at master's degree examinations, while at least five for PhD degree examinations. Additionally, external members outside of THU shall comprise at least one-third of the committee. Otherwise, the examination results will not be recognized.
 4. A passing grade for the degree examination is set at seventy points (letter grade: B-), with one hundred points (letter grade: A+) as the highest score. The final score is determined by the average scores provided by the attending committee members. Cases of plagiarism or academic dishonesty in the thesis, as determined by the Master's or Doctoral Degree Examination Committee, will result in an automatic fail.
 5. Students who fail their degree examinations but are still within the prescribed program length may retake the degree examinations in the following semester of the academic year but are limited to two degree examinations in total. Failure to pass the second degree examination will result in an academic dismissal.

第十條 學位考試舉行後，各系、所、學位學程應俟研究生繳交附有指導教授及考試委員簽字同意之論文，始得登錄成績並將該生學位考試結果通知書送教務處。

前項考試結果通知書應於期限前（第一學期一月三十一日，第二學期七月三十一日）繳交，逾期未送交者，以學位考試一次不及格論。

Article 10 After the degree examinations, departments, institutes, or degree programs shall, upon receiving the student's thesis (signed by the advisor and all committee members), register the student's score and deliver the results to the Office of Academic Affairs.

The results shall be submitted before the deadline each semester (Fall: January 31; Spring: July 31). Late submissions will result in an automatic fail.

第十一條 通過學位考試之研究生，應依規定時間（第一學期通過學位考試者於二月十五日前，第二學期通過學位考試者於八月十五日前），將其取得學位之論文、書面報告、技術報告或專業實務報告依下列規定繳交，方得辦理離校手續：

- 一、將論文全文電子檔完整上網建檔，並經系、所、學位學程審查通過。
- 二、繳交各系、所、學位學程規定份數之論文（需另附授權書）。

三、繳交二冊論文及學位論文網路公開授權書至本校圖書館，其中一冊轉送國家圖書館典藏。

逾期未繳交，未達修業年限屆滿者，次一學期仍應註冊，並於該學期論文繳交最後期限前繳交，屬該學期畢業，但至修業年限屆滿仍未繳交論文者，該學位考試以不及格論，並依規定退學。

碩士、博士論文依著作權法規定授權，得為重製、透過網路公開傳輸，但若涉及機密、專利事項或依法不得提供者，得檢具相關證明文件及學位論文延後公開申請書，經該研究生之學位考試委員會審議，同意後始得申請延後公開；延後公開者，一次至多不得超過五年。

辦理畢業離校時應檢具審議通過之延後公開申請書、會議記錄及相關佐證文件等送至圖書暨資訊處，並由圖書暨資訊處彙整函知國家圖書館。

Article 11 Students who have passed their degree examination shall submit their thesis, written reports, technical reports, or professional reports in compliance with the following guidelines before the deadline each semester (Fall: February 15; Spring: August 15) to begin their checking procedures for leaving school.

1. Upload the complete electronic file of the thesis online with confirmation from the department, institute, or degree program.

2. Submit the required number of printed copies of the thesis (along with an authorization form) as specified by each department, institute, or degree program.

3. Submit two printed copies of the thesis and the authorization form for online publication to the THU library. One copy will be forwarded to the National Central Library for archiving.

Students with late submissions but still within the prescribed program length shall register in the following semester again and submit the three items above before the deadline for the following semester. Graduation dates are determined by when students complete their submissions and other procedures. Students with late submissions that are at the end of their prescribed program length will be given an automatic fail and academic dismissal.

According to the Copyright Act of Taiwan, degree theses may be reproduced and shared publicly online upon authorization. However, in cases involving confidential, patent-related information, or circumstances where providing the thesis is prohibited by law, relevant supporting documents and an application for delayed thesis publication must be submitted. According to the Graduate Examination Committee's deliberation, approval is required before applying for postponement of public disclosure. On each occasion, public disclosure can be postponed for a maximum of five years.

When completing the graduation clearance procedure, you should submit the approved application form for delayed public disclosure, meeting minutes, and relevant supporting documents to the Library and Information Office, which will then compile and notify the National Central Library.

第十二條 本校碩、博士學位論文因故補充或勘誤需進行抽換時，經指導教授及系所同意後辦理。

論文抽換之修改範圍幅度，應明訂於系所碩博士生學位考試辦法；論文抽換時應

檢具論文抽換申請書及抽換後論文、勘誤表、論文原創性比對報告、會議記錄及相關佐證文件等，提送教務處及圖書暨資訊處辦理，並由圖書暨資訊處彙整函知國家圖書館。論文抽換以一次為原則。

Article 12 When the submitted master's or doctoral thesis needs to be replaced by the reasons of supplementation or correction, the student should get the approval from thesis advisor and department chair before proceeds with the replacement.

The scope of the thesis replacement should be clearly stated in the department's master's and doctoral degree examination guide. When the thesis is replaced, the application form for thesis replacement, the replaced thesis, correction form, originality comparison report of the thesis, meeting records, and relevant supporting documents should be submitted to the Academic Affairs Office and the Library and Information Office for processing. Library and Information Office will compile the documents and then notify the National Library to make the replacements. In principle, the submitted thesis can only be replaced once.

第十三條 逕行修讀博士學位研究生於通過博士學位候選人資格考核後，未通過博士學位考試，經博士學位考試委員會決議合於碩士學位標準者，得改授予碩士學位。

Article 13 Students who directly pursue a doctoral degree and pass the doctoral candidate qualification examination within the prescribed study period but fail the doctoral thesis examination may be awarded a master's degree with the consent of the Doctoral Thesis Examination Committee.

第十四條 本校授予之碩士、博士學位，有下列情事之一者，將予撤銷，並公告註銷其已頒給之學位證書，有違反其他法令規定者，並依相關法令規定處理。

一、入學資格或修業情形有不實或舞弊情事。

二、論文、作品、成就證明、書面報告、技術報告或專業實務報告有造假、變造、抄襲、由他人代寫或其他舞弊情事。

依前項規定撤銷學位後，不得再以其前次學位考試不及格為由申請第二次學位考試。

撤銷學位後，應通知當事人繳還該學位證書，並將撤銷及註銷事項，通知其他專科學校、大學及相關機關，並依本校學則勒令退學。

Article 14 Degrees conferred by THU shall be revoked in the event of any of the following circumstances, and the revocation shall be publicly announced along with the cancellation of the awarded degree certificates. Violations of other legal regulations will be handled in accordance with the relevant legal provisions.

1. False or fraudulent information during admission or academic progress.

2. Academic misconduct, including forgery, falsification, plagiarism, ghostwriting, or other fraudulent behavior in student theses, works, evidence of achievements, reports, technical reports, or professional reports.

Students whose degrees were revoked shall not apply for a second degree examination on the grounds of a failed degree examination.

After the degree is revoked, the individual must return the academic certificate, and the institution will inform other schools, universities, and relevant authorities about the revocation and termination. The student will also be ordered to withdraw in compliance with the THU Academic Regulations.

第十五條 本規則經教務會議通過後公告施行，並報請教育部備查。

Article 15 The Regulations herein shall be promulgated upon approval by the Academic Affairs

Meeting and archived by MOE.